

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT  
APPLICATION

## REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) &amp; (f)

(No Filing Fee or Oath/Declaration)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications



00909

Jc860 U.S. PTO



03/22/01

Hon. Commissioner of Patents  
Washington, DC 20231

Atty. Dkt.

P 277862

M#

990217 BT

Client Ref

Date:

March 22, 2001

Sir:

1. This is a Request for filing a new Patent Application(☐ Design ☒ Utility) entitled:2. (Complete) Title: **NUCLEOTIDE SEQUENCES ENCODING THE dapC GENE AND PROCESS FOR THE PRODUCTION OF L-LYSINE**

without a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract 1 page(s).4. 41 Pages of Specification (only spec. and claims); 5. ☐ Specification in non-English language6. 21 Numbered claim(s); and7. ☒ Drawings: 2 sheet(s) ☐ 1 set informal; 8. ☒ format of size: ☒ A4 ☐ 11"9. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in GERMANY

Application No.	Filing Date	Application No.	Filing Date
(1) 100 14 546.9	March 23, 2000	(2)	
(3)		(4)	
(5)		<input type="checkbox"/> See 3 <sup>rd</sup> page for additional priorities	

11. 1 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / \_\_\_\_\_ filed on \_\_\_\_\_12. ☐ This is a reissue of Patent No. \_\_\_\_\_13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete item 14 or 15.)

14. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

No. _____	/	filed _____
No. _____	/	filed _____
No. _____	/	filed _____

15. ☐ See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US applications16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed17. ☐ Small Entity Status is claimed (**pre-filing confirmation required**)17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not essential to make claim)17(b) ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (Pat-258)

18. ☐ Prior application is assigned to

by Assignment recorded \_\_\_\_\_ Reel \_\_\_\_\_ Frame \_\_\_\_\_

19. ☐ Attached:

20. This application is made by the following named inventor(s) (Double check instructions for accuracy.):  
(Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	Bettina		MÖCKEL
	First	Middle Initial	Family Name
Residence	Düsseldorf	GERMANY	GERMANY
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(2) Inventor	Anke		WEISSENBORN
	First	Middle Initial	Family Name
Residence	Tübingen	GERMANY	GERMANY
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(3) Inventor	Walter		PFEFFERLE
	First	Middle Initial	Family Name
Residence	Halle	GERMANY	GERMANY
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(4) Inventor	Michael		HARTMANN
	First	Middle Initial	Family Name
Residence	Bielefeld	GERMANY	GERMANY
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(5) Inventor	Jörn		KALINOWSKI
	First	Middle Initial	Family Name
Residence	Bielefeld	GERMANY	GERMANY
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

21. NOTE: FOR ADDITIONAL INVENTORS, "X" ☒ and list additional inventors on attached sheet (incorporated by reference)

Pillsbury Winthrop LLP  
Intellectual Property Group



00909

By: Atty: Ann S. Hobbs Reg. No. 36830

Sig: Fax: (202) 822-0944  
Tel: (202) 861-3063

Atty/Sec: ASH/JRH

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

**REQUEST FOR FILING APPLICATION**  
**Under Rule 53(a), (b)(1) & (d)(1)**  
**(Continued : Additional Inventors)**

(6) Inventor	Alfred		PÜHLER
	First	Middle Initial	Family Name
Residence	Bielefeld	GERMANY	GERMANY
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(7) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(8) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(9) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(10) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(11) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(12) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			